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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/837,552	
	Filing Date	04/19/2001	
	First Named Inventor	Hisashi OHTANI et al.	
	Group Art Unit	2815	
	Examiner Name	N. Drew Richards	
Total Number of Pages in This Submission		Attorney Docket Number	740756-2296

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Other
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

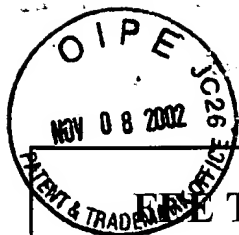
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jerome W. Massie (Reg. No. 48,118) Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22102
Signature	
Date	November 4, 2002

CERTIFICATE OF MAILING

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**FREE TRANSMITTAL
FOR FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$110.00)

Complete if Known

Application Number	09/837,552
Filing Date	04/19/2001
First Named Inventor	Hisashi OHTANI et al.
Examiner Name	N. Drew Richards
Group Art Unit	2815
Attorney Docket No.	740756-2296

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TECHNOLOGY CENTER 280C**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit
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Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

		Extra Claims		Fee from below		Fee Paid	
Total Claims		-20** =		X		=	0
Independent Claims		-3** =		X		=	0
Multiple Dependent				X		=	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	2202	9	Claims in excess of 20
102	84	2201	42	Independent claims in excess of 3
104	280	2203	140	Multiple dependent claim, if not paid
109	84	2204	42	** Reissue independent claims over original patent
110	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	Filing a submission after final rejection (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$110.00)**CERTIFICATE OF MAILING**

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Adele M. Stamper
Name: Adele M. Stamper**SUBMITTED BY**

Name (Print/Type) Jerome W. Massie

Registration No. 48,118
(Attorney/Agent)

Signature

Complete (if applicable)

Telephone 703 770 9300

Date November 4, 2002



PATENT
Docket No.: 740756-2296

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Hisashi OHTANI et al.) Art Unit: 2815
Serial No. 09/837,552)
Filed: April 19, 2001) Examiner: N. Drew Richards
For: SEMICONDUCTOR DEVICE AND)
MANUFACTURING METHOD)
THEREOF)

#1910/C
11/14/02
Paul
TECHNICAL CENTER
2002

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Assistant Commissioner of Patents, Washington, D.C. 20231 on 11 4 2002

Adele M. Stamper
Adele M. Stamper

OK
NVA

REQUEST FOR APPROVAL OF DRAWING CORRECTIONS

Honorable Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached hereto is a copy of Figures 3A-3E, 5A-5B and 6 of the present application, with corrections marked in red ink. The corrections set forth are requested for the purpose of providing consistency in feature identification with the specification, and as required by 37 C.F.R. 1.83(a). The USPTO is requested to review and approve the corrections to Figures 3A-3E, 5A-5B and 6, and provide Applicants' undersigned representative with written notice of such approval.

Respectfully submitted,

Jerome W. Massie
Jerome W. Massie
Registration No. 48,118

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